

The first step of its kind amongst regional exchanges:

DFM and Nasdaq Dubai Receive the “ISO 22301: 2012” Certificate for Business

Continuity Management System

The certificate underlines both exchanges’ full readiness for business

continuity during any disruptive events

Dubai, 22 May 2018: In a first step of its kind amongst regional exchanges, Dubai Financial Market (DFM) and Nasdaq Dubai have been certified with the “ISO 22301: 2012” for Business Continuity management System (BCMS) from Lloyd's Register Quality Assurance (LRQA). The Certificate is a key standard for business continuity that recognizes the effectiveness of the system’s design and application in response to disaster recovery when facing any disruptive events.

This achievement underlines the commitment of DFM and Nasdaq Dubai to international business excellence and quality standards as well as their constant

endeavour to further enhance their services in line with international best practices.

Hassan Al Serkal, Chief Operating Officer (COO) and Head of Operations Division of DFM and Hamed Ali, Chief Executive of Nasdaq Dubai were presented with the “ISO 22301 : 2012” Certificate for Business Continuity management System (BCMS) by Brian Baxter, Area Field Assessment Manger- – South East Asia, Australasia, Middle East and Africa, Lloyd's Register Quality Assurance (LRQA).

Commenting on this, Hassan Al Serkal said: “The DFM is fully committed to embracing international best practices all of its operations and services.

Undoubtedly, receiving the “ISO 22301: 2012” Certificate for Business Continuity management System (BCMS) from the LRQA, the leading provider of business and quality certification globally, clearly indicates our resilience and ability to handle any potential risks related to business continuity. In fact, having an



efficient business continuity and disaster recovery plan is an integral part of DFM's strategy as it ensures our ability to resume operations and return to 'business as usual' as quickly as possible after a disruptive incident."

Commenting on this, Hamed Ali, Chief Executive of Nasdaq said: "This award of the "ISO 22301: 2012" BCMS Certificate underlines the importance that we attach to providing our issuers, investors and other market participants with robust exchange architecture that will enable us to quickly respond to and recover from any disruptive or abnormal circumstance that may arise. As the international exchange serving the region, we place the highest priority on providing first class IT, operations and other functions that instil justified confidence in our platform regionally and globally."

Brian Baxter, Area Field Assessment Manager – South East Asia, Australasia, Middle East and Africa passed his congratulations to all involved, "Implementing

the requirements of Business Continuity Management systems ISO 22301:2012 by Dubai Financial Market & Nasdaq Dubai, means they have developed and adopted an effective, performance-based management system that is designed to deliver business benefits and competitive advantage. I take this opportunity to congratulate the team at the Dubai Financial Market & Nasdaq Dubai “.

It is noteworthy that the Lloyd's Register Quality Assurance has certified DFM and Nasdaq Dubai with the “ISO 22301” Certificate following the accomplishment of a widespread review and audit of the two exchanges’ services and procedures.

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About Dubai Financial Market: Dubai Financial Market (DFM) was established as a public institution with its own independent corporate body. DFM operates as a secondary market for the trading of securities issued by public shareholding companies, bonds issued by the Federal Government or any of the local Governments and public institutions in the country, units of investment funds and any other financial instruments, local or foreign, which are accepted by the market. The DFM commenced operations on March 26, 2000 and became the first Islamic Shari'a-compliant exchange globally since 2007. Following its initial public offering in November 2006, when DFM offered 1.6 billion shares, representing 20 per cent of its paid-up capital of AED 8 Billion, DFM became a public joint stock company and its shares were listed on 7 March 2007 with the trading symbol (DFM). Following the IPO, the Government of Dubai retained the remaining 80 per cent of DFM Company through Borse Dubai Limited. www.dfm.ae

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